

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 210678US2SRD

First Inventor or Application Identifier Osamu Hori, et al.

Title SPECIAL REPRODUCTION CONTROL INFORMATION DESCRIBING METHOD, SPECIAL REPRODUCTION CONTROL INFORMATION CREATING APPARATUS AND METHOD THEREFOR, AND VIDEO REPRODUCTION APPARATUS AND METHOD THEREFOR

JCP 11 U.S. PRO  
106/894121

06/29/01

Assignee Name:

Assignee Address:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	Total Sheets <span style="border: 1px solid black; padding: 2px;">106</span>
2. <input checked="" type="checkbox"/> Specification	Total Sheets <span style="border: 1px solid black; padding: 2px;">33 (Formals)</span>
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets <span style="border: 1px solid black; padding: 2px;">33</span>
4. <input checked="" type="checkbox"/> Oath or Declaration	Total Pages <span style="border: 1px solid black; padding: 2px;">2</span>
a. <input checked="" type="checkbox"/> Newly executed (original)	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation / divisional w/ box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
a. <input type="checkbox"/> Computer Readable Form (CRF)	
b. Specification or Sequence Listing on:	
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
ii. <input type="checkbox"/> Paper	
c. <input type="checkbox"/> Statements verifying identity of above copies	

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  Application Data Sheet. See 37 CFR 1.76
9.  37 C.F.R. §3.73(b) Statement  Power of Attorney
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  White Advance Serial No. Postcard
14.  Certified Copy of Priority Document(s) (1)  
(if foreign priority is claimed)
15.  Applicant claims small entity status.  
See 37 CFR 1.27
16.  Other: Notice of Priority, IDS/List of Related Cases, Cited Pending Applications (2)

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- This application is a  Continuation  Division  Continuation-in-part (CIP)  
of application Serial No. Filed on
- Which was published in English
- Which was not published in English
- This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



**22850**

(703) 413-3000  
FACSIMILE: (703) 413-2220

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:		Date:	6/29/01
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Osamu Hori, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SPECIAL REPRODUCTION CONTROL INFORMATION DESCRIBING METHOD, SPECIAL REPRODUCTION CONTROL INFORMATION CREATING APPARATUS AND METHOD THEREFOR, AND VIDEO REPRODUCTION APPARATUS AND METHOD THEREFOR

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	24 - 20 =	4	× \$18 =	\$72.00
INDEPENDENT CLAIMS	11 - 3 =	8	× \$80 =	\$640.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS	\$1,422.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
			TOTAL	\$1,462.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of \$1,462.00 to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Date: 6/29/01C. Irvin McClellandMarvin J. Spivak  
Registration No. 24,913C. Irvin McClelland  
Registration Number 21,12422850  
Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0039-7540-2 SRD	09/493,012	01/28/00	PENDING
197329US2 SRD	09/662,116	09/14/00	PENDING